

**RULE CHANGES – PROPOSED FOR VOTE IN 2011**  
**Final discussion and vote: July 17, 2011**  
**(Rules to take effect January 1, 2012)**

Procedures: NRHA accepts all rule change proposals submitted by members, committees, staff, legal counsel, or the NRHA Board of Directors. These proposals are discussed and evaluated during at least two meetings prior to the final vote. **Amendments to rule changes proposals are in red.**

**35-01-12 PASSED** Submitted by NRHA Legal Counsel

Change **GENERAL RULES AND REGULATIONS, E. Disciplinary Procedures, Section 4**  
(reference page 35 of the 2011 NRHA Handbook)

Whenever ~~anyone~~ any member believes that conduct at an NRHA event of a member or non-member warrants disciplinary action...

*Intent of Proposal (include additional pages as necessary):*

Clarification

**35-02-12 WITHDRAWN 6/21/11** Submitted by Kit Coper

Change **GENERAL RULES AND REGULATIONS, E. Disciplinary Procedures, Section 4**  
(reference page 35 of the 2011 NRHA Handbook)

a) To be considered valid, a protest shall be filed on an Official NRHA Protest form or, absent the Official form, contain substantially the information requested by the Official form. At minimum a valid protest form must contain; The date the form is completed, the date of the alleged violation, name of the accused, rule allegedly broken, show name, date and location and complete information for the person filing the protest. Furthermore, any witness information should only contain reference to individual persons. Any protest filed that does not meet this minimal criteria is subject to immediate dismissal upon review by NRHA Counsel. Any protest must be accompanied by...

e) Timely filing will be determined by the postmark on the envelope or, if submitted by fax, by the date/time stamp imprint inasmuch as the date/time indicated is deemed reasonable.

Add new paragraph;

k) All protests will undergo an initial review by NRHA Counsel prior to being presented to the Hearing Body as an officially filed protest.

*Intent of Proposal (include additional pages as necessary):*

Streamline the disciplinary process in order to filter out frivolous protest filings prior to expending additional resources or unnecessarily burdening Hearing Body members.

**42-01-12 PASSED** Submitted by NRHA Board of Directors

Change **General Rules and Regulations (Add new L)** (reference page 42 of the 2011 NRHA Handbook)

L. Animal Welfare and Medications Provisions Applicable to all NRHA Events.

*((Consult legal counsel to add verbiage about state or country laws superseding NRHA rules.))*

### **Section 1. Testing**

(a) All horses entered in an NRHA approved class that meet the criteria of the NRHA Animal Welfare and Medications Policy are subject to examination by a licensed veterinarian who must be approved by NRHA. Said approved veterinarian may appoint a technician to perform certain duties under this rule. The examination may include physical, urine, blood tests and/or any other test or procedure at the discretion of said veterinarian necessary to effectuate the purposes of this rule.

(b) Persons responsible for a horse being tested who are not able to accompany NRHA drug testing personnel and the horse to the location where sample collection is to take place, to act as witness to the collection and sealing of blood and urine samples, and to sign the drug collection documents in the appropriate places as witness, must appoint an agent to do so. The absence of such a witness shall constitute a waiver of any objection to the identification of the horse tested and the manner of collection and sealing of the samples.

(c) Upon the collection of a sufficient number of tubes of blood from the horse, the tubes shall be divided into two groups. One group shall be labeled and identified as Blood Sample A, and the other as Blood Sample B, and they shall be sealed accordingly. Upon the collection of a sufficient volume of urine from the horse, a portion of the sample shall be poured into a second urine sample container. One container shall be labeled and identified as Urine Sample A, and the other as Urine Sample B, and they shall be sealed accordingly. These procedures shall be performed whether or not the person responsible or his/her appointed witness is present as provided for in Section 1(b) above.

(d) In the event reasonable attempts at sample collections from the horse do not provide a sufficient number of tubes of blood or a sufficient volume of urine to be divided, labeled, and identified as Samples A and B, as determined by the testing veterinarian and/or technician, the sample(s) obtained (if obtained) shall be labeled and identified as Sample(s) A only, and it shall be recorded in the records of the Animal Welfare and Medications Program that the corresponding Sample(s) B does (do) not exist, in which event the obtained Sample(s) shall be subject to testing.

### **Section 2. Cooperation**

(a) Cooperation with the veterinarian and/or his agent(s) includes:

- i. Taking the horse and the veterinarian and/or his agent(s) immediately to the location selected by said veterinarian and/or agent(s) for testing the horse and presenting it for testing.
- ii. Assisting the veterinarian and/or his agent(s) in procuring the sample promptly, including but not limited to removing equipment from the horse, leaving it quietly in the stall and avoiding any distractions to it. Schooling, lengthy cooling out, bandaging and other delays of this type shall be construed as noncooperation.

### **Section 3. Responsibility and Accountability of Person(s) Responsible**

(a) A person responsible is defined as any adult or adults who has or shares the responsibility for the care, training, custody, condition, or performance of a horse. Said person must sign the entry blank of any NRHA approved event whether said person be a trainer, owner, rider, agent and/or coach. Where a minor exhibitor has no person responsible, then a parent, guardian or agent or representative thereof must sign the entry blank and assume responsibility. The name of the person responsible must be designated as such on the entry blank. It is the responsibility of the person responsible as well as event management to see that entry blanks contain all of the required information.

(b) The person(s) responsible in the absence of substantial evidence to the contrary are responsible and accountable under the penalty provisions of these rules:

i. for the condition of a horse at an NRHA approved event and  
 ii. to know all of the provisions of General Rules and Regulations (O) (including any advisories or interpretations published in the *NRHA Reiner*) and all other rules and regulations of the NRHA and the penalty provisions of said rules. For purposes of this rule, substantial evidence means affirmative evidence of such a clear and definite nature as to establish that said person responsible, or any employee or agent of the person responsible, was, in fact, not responsible or accountable for the condition of the horse. If any person responsible is prevented from performing his or her duties, including responsibility for the condition of the horses in his or her care, by illness or other cause, or is absent from any NRHA approved event where horses under his or her care are entered and stabled, he or she must immediately notify the event secretary and, at the same time, a substitute must be appointed by the person responsible and such substitute must place his or her name on the entry blank forthwith. Such substitution does not relieve the regular person responsible of his/her responsibility and accountability under this rule; however, the substitute person responsible is equally responsible and accountable for the condition of such horses.

(c) The person responsible and owner acknowledge that the person responsible represents the owner regarding horses being trained or managed, entries, scratches for any reason and any act performed on any horse under the care and custody of the person responsible.

(d) In the case of a horse competing under the Therapeutic Substance Provisions, any person responsible or other person subject to these rules who actually administers, attempts to administer, instructs, aids, conspires with another to administer or employs anyone who administers or attempts to administer a forbidden substance to a horse which might affect the performance of said horse at an event licensed by the NRHA without complying with Section 8, is subject to the penalties provided in Section 5, and General Rules and Regulations (E) and the Animal Welfare and Medications Policy.

(e) Any person(s) responsible or person subject to these rules who administers, attempts to administer, instructs, aids, conspires with another to administer or employs anyone who administers or attempts to administer any substance to a horse by injection or by any other route of administration, whether the substance is forbidden or permitted, at an event licensed by the NRHA, whether it be during a scheduled class in the competition ring, practice arenas, alleys leading into the arenas or any other public areas of the show grounds, is subject to the penalties provided in Section 5 unless administered in a life-saving situation which should be done based on consultation with a veterinarian.

#### **Section 4. Results, Confirmatory Analysis, and Retest**

(a) Blood and urine samples labeled and identified as Samples A shall be subjected to chemical analysis by a laboratory with which NRHA has contracted for its services. Blood and urine samples labeled and identified as Samples B shall be stored securely, unopened, at the contracted laboratory, to be used in the event that a confirmatory analysis shall be required.

(b) In the event the chemical analysis of Blood or Urine Sample A is negative, i.e., no forbidden substance or any metabolite or analogue thereof is found to be present in the sample, the corresponding Blood or Urine Sample B shall be destroyed by the laboratory.

(c) In the event the chemical analysis of Blood or Urine Sample A is positive, i.e., a forbidden substance or any metabolite or analogue thereof is found to be present in the sample, this shall be prima facie evidence that the forbidden substance was administered in some manner to said horse, whether intentionally or unintentionally, or otherwise was caused to be present in the tissues, body fluids or excreta of the horse at the event, whether intentionally or unintentionally, such that the person(s) responsible deemed responsible and accountable for its condition is (are) liable under the provisions of Section 3.

(d) In the event the chemical analysis of Blood or Urine Sample A is positive, and upon the issuance of Notices of Charge to persons deemed responsible and accountable under the rules, a person charged who requests a confirmatory analysis of the corresponding Blood or Urine Sample B must

make the request in writing to NRHA Counsel, and it must be received within 15 days of the date of the Notice of Charge.

(e) The confirmatory analysis of the corresponding Blood or Urine Sample B shall be performed by a drug testing laboratory that must be mutually agreed upon by the person charged who requests the confirmatory analysis and NRHA Counsel, which laboratory must have demonstrated proficiency in performing the necessary confirmatory analysis, provided the corresponding Blood or Urine Sample B exists and is of sufficient volume to permit a confirmatory analysis. In the event the drug testing laboratory that analyzed Sample A is the only laboratory that has demonstrated proficiency in performing the necessary confirmatory analysis, as determined by NRHA Counsel, this laboratory shall be the only laboratory to which NRHA Counsel shall agree to perform the confirmatory analysis of the corresponding Sample B. Upon the completion of the confirmatory analysis, the laboratory performing the confirmatory analysis shall forward its findings and supporting data to all parties.

(f) In the event no agreement is reached as to a laboratory as required in Section 4(e) above, and the person charged who requests the confirmatory analysis does not revoke his/her request, the confirmatory analysis of the corresponding Blood or Urine Sample B shall be performed by the contracted laboratory as determined by NRHA Counsel, which laboratory shall forward its findings and supporting data to all parties. Both the results of the analysis of Sample A (and supporting data) and the results of the confirmatory analysis of the corresponding Sample B, if any (and supporting data, if any), shall be admissible as evidence in any hearing or proceeding pertaining to this matter.

(g) In the event the corresponding Blood or Urine Sample B does not exist, or is of insufficient volume to permit a confirmatory analysis, as determined by NRHA Counsel, and there exists a remaining aliquot of Blood or Urine Sample A which is of sufficient volume to permit a retest, as determined by NRHA Counsel, a person charged who requests the retest of Blood or Urine Sample A must make the request in writing to NRHA Counsel, and it must be received within 7 days of the determination that the corresponding Blood or Urine Sample B does not exist or is of insufficient volume to permit a confirmatory analysis.

(h) Any requested re-test of the remaining aliquot of Blood or Urine Sample A, provided it is of sufficient volume to permit a retest, shall be performed by the contracted laboratory as determined by NRHA Counsel.

(i) The retest of the remaining aliquot of Blood or Urine Sample A may be witnessed by a Witnessing Analyst appointed by the person charged who requests such analysis at the same time as the retest is requested. The Witnessing Analyst must be a qualified analytical chemist employed by an equine drug testing laboratory. If no Witnessing Analyst is appointed by the person requesting the retest, or if the Witnessing Analyst is unavailable within a reasonable time, the requested retest of the remaining aliquot of Blood or Urine Sample A shall proceed without the Witnessing Analyst.

(j) In the event the Witnessing Analyst appointed by the person requesting the retest of the remaining aliquot of Blood or Urine Sample A is satisfied that the positive result is correct, NRHA Counsel must be informed immediately by fax with confirmation by letter.

(k) In the event the Witnessing Analyst is not satisfied that the result of the retest of the remaining aliquot of Blood or Urine Sample A is correct, NRHA Counsel must be informed immediately by fax followed by a written report setting forth the basis for the Witnessing Analyst's opinion. Copies of the original and subsequent results and supporting analytical data must be submitted to the NRHA Hearing Body as part of the hearing record in the case, for resolution by it of any and all issues regarding the original analysis of Blood or Urine Sample A and the retest of the remaining aliquot of Blood or Urine Sample A.

(l) By requesting the confirmatory analysis of the corresponding Blood or Urine Sample B, or the retest of the remaining aliquot of Blood or Urine Sample A, or by requesting that the retest be witnessed by a Witnessing Analyst, the person charged who makes such request(s) agrees to and must pay any and all fees, costs and expenses relating to the confirmatory analysis or the retest, whether it is performed by a mutually agreed upon laboratory, by the contracted laboratory upon the

presentation an invoice by NRHA Counsel, and any and all fees, costs, and expenses relating to the Witnessing Analyst.

(m) If the chemical analysis of the sample taken from such horse indicates the presence of a forbidden substance or any metabolite or analogue thereof and all the requirements of Section 8 have been fully complied with, the information contained in said Medications Report Form and any other relevant evidence will be considered by NRHA in determining whether a rule violation was committed by any person(s) responsible or accountable for the condition of the horse under the provisions of this rule.

(n) When a positive report is received from the chemist identifying a forbidden substance, or any metabolite or analogue thereof, a hearing will be held in accordance with General Rules and Regulations (E) and the Animal Welfare and Medications Policy. No person responsible, responsible or accountable for the condition of said horse, will be suspended, or a horse barred from competition, until after an administrative penalty has been assessed or after the conclusion of a hearing and a written ruling thereon has been made.

(o) The owner or owners of a horse found to contain a forbidden substance or any metabolite or analogue thereof may be required to forfeit all prize money, sweepstakes, added money and any trophies, ribbons and "points" won at said event by said horse and the same will be redistributed accordingly. If, prior to or at a hearing, NRHA as the charging party, determines that one or more persons, not previously charged as a person responsible should also be charged as a person responsible, then, upon application by NRHA, the Hearing Body may, in its discretion, continue or adjourn the hearing, in whole or in part, to permit a new or amended charge to be issued (unless the person(s) to be charged waive notice).

(p) A person responsible of a horse found to contain such forbidden substance or any metabolite or analogue thereof is subject to whatever penalty is assessed by the Hearing Body, as provided by General Rules and Regulations (E) and the Animal Welfare and Medications Policy. Said person responsible may be fined and may be suspended from all participation in NRHA approved events as outlined in General Rules and Regulations (E) Section 9 and the Animal Welfare and Medications Policy. In determining an appropriate penalty under these rules, the Hearing Body may take into account such factors and circumstances as it may deem relevant, including but not limited to:

- a. the pharmacology of the forbidden substance,
- b. the credibility and good faith of the person charged or of other witnesses,
- c. penalties determined in similar cases, and
- d. past violations of any NRHA rules (or the lack thereof).
- e. reliance upon the professional ability or advice of a veterinarian who is a licensed graduate of an accredited veterinary school and who is in good standing in the state, province or country in which he/she primarily practices.

### **Section 5. Management Procedures**

(a) Testing fees will be applied where testing is carried out at NRHA events as approved by the NRHA Board of Directors.

(b) Event management must cooperate with the veterinarian and/or his agents.

### **Section 6. Interpretations of the NRHA Animal Welfare and Medications Rule and its Application to Particular Substances**

Trainers, persons responsible and/or owners who seek advice concerning the interpretation and application of this rule should not rely solely upon interpretations or advice by private or event veterinarians, event officials, event personnel, or other persons, but should also obtain verification of any such interpretations or advice from the NRHA Animal Welfare and Medications Program office. Any trainer, person responsible or owner who is uncertain about whether this rule applies in any given situation would be well advised to withdraw the affected horse from competition until such time as the NRHA Animal Welfare and Medications Program office has been consulted.

### **Section 7. Equine Medications, The Therapeutic Substance Provisions**

(a) No horse competing in an event approved by NRHA is to be shown in any class (see also Section 1 (a), last sentence) if it has been administered in any manner or otherwise contains in its tissues, body fluids or excreta a forbidden substance except as provided in Section 8. For purposes of this rule, a forbidden substance is:

- i. Any stimulant, depressant, tranquilizer, local anesthetic, psychotropic (mood and/or behavior altering) substance, or drug which might affect the performance of a horse (stimulants and/or depressants are defined as substances which stimulate or depress the cardiovascular, respiratory or central nervous systems), or any metabolite and/or analogue of any such substance or drug, except as expressly permitted by this rule.
- ii. Any corticosteroid present in the plasma of the horse other than dexamethasone (see Section (e)(ii)).
- iii. Any nonsteroidal anti-inflammatory drug in excess of two present in the plasma or urine of the horse (Section 8 does not apply); exception: salicylic acid and topical use of diclofenac (Surpass) is permitted in addition to two additional nonsteroidal anti-inflammatory drugs.
- iv. Any substance (or metabolite and/or analogue thereof) permitted by this rule in excess of the maximum limit or other restrictions prescribed herein.
- v. Any substance (or metabolite and/or analogue thereof), regardless of how harmless or innocuous it might be, which might interfere with the detection of any of the substances defined in (i), (ii), (iii) or (v) or quantification of substances permitted by this rule.
- vi. Any anabolic steroid.

(b) EXHIBITORS, OWNERS, TRAINERS, PERSONS RESPONSIBLE AND VETERINARIANS ARE CAUTIONED AGAINST THE USE OF MEDICINAL PREPARATIONS, TONICS, PASTES, AND PRODUCTS OF ANY KIND, THE INGREDIENTS AND QUANTITATIVE ANALYSIS OF WHICH ARE NOT SPECIFICALLY KNOWN, AS MANY OF THEM MAY CONTAIN A FORBIDDEN SUBSTANCE.

(c) The full use of modern therapeutic measures for the improvement and protection of the health of the horse is permitted unless:

- i. The substance administered is a stimulant, depressant, tranquilizer, local anesthetic, drug or drug metabolite which might affect the performance of a horse or might interfere with the detection of forbidden substances or quantification of permitted substances; or
- ii. More than two nonsteroidal anti-inflammatory drugs are present in the plasma or urine of the horse (Section 8 does not apply); exception: salicylic acid and topical use of diclofenac (Surpass) is permitted in addition to two additional nonsteroidal anti-inflammatory drugs; or
- iii. The presence of such substance in the blood or urine sample exceeds the maximum limit or other restrictions prescribed herein below.

(d) Restrictions concerning the nonsteroidal anti-inflammatory drugs are as follows:

- i. The maximum permitted plasma concentration of diclofenac is 0.005 micrograms per milliliter.
- ii. The maximum permitted plasma concentration of phenylbutazone is 15.0 micrograms per milliliter.
- iii. The maximum permitted plasma concentration of flunixin is 1.0 micrograms per milliliter.
- iv. The maximum permitted plasma concentration of ketoprofen is 0.250 micrograms per milliliter.
- v. The maximum permitted plasma concentration of meclofenamic acid is 2.5 micrograms per milliliter.
- vi. The maximum permitted plasma concentration of naproxen is 40.0 micrograms per milliliter.
- vii. The maximum permitted plasma concentration of firocoxib is 0.240 micrograms per milliliter.

- viii. Upon the approval of eltenac by the FDA, the maximum permitted plasma concentration of eltenac is 0.1 micrograms per milliliter.
  - ix. A maximum of two substances listed in (i) through (vii) above are permitted to be present in the same plasma or urine sample (Section 8 does not apply); exception topical use of diclofenac (Surpass) is permitted in addition to two additional nonsteroidal anti-inflammatory drugs.
  - x. Phenylbutazone and flunixin are not permitted to be present in the same plasma or urine sample (Section 8.a.xi. does not apply).
  - xi. Any nonsteroidal anti-inflammatory drug not listed in (i) through (vii) above is forbidden to be present in the plasma or urine sample (Section 8 does not apply); exception: salicylic acid.
  - xii. Any nonsteroidal anti-inflammatory drug that becomes approved for use in horses can be added to the list of those permitted, after the completion, review and approval of the needed research.
- (e) Restrictions concerning other therapeutic substances are as follows:
- i. The maximum permissible plasma concentration of methocarbamol is 4.0 micrograms per milliliter.
  - ii. The maximum permitted plasma concentration of dexamethasone is 0.003 micrograms per milliliter.
- (f) Thresholds for substances of possible dietary origin are as follows:
- i. The maximum permissible urine concentration of theobromine is 2.0 micrograms per milliliter.

### **Section 8. Conditions For Therapeutic Administrations of Forbidden Substances**

- (a) A horse exhibiting at an NRHA approved event pursuant to the Therapeutic Substance Provisions that receives any medication which contains a forbidden substance is not eligible for competition unless all of the following requirements have been met and the facts are furnished in writing on a timely-submitted official Medications Report Form:
- i. The medication must be therapeutic and necessary for the diagnosis or treatment of an existing illness or injury. Any person responsible who is uncertain about whether a particular purpose is considered to be therapeutic would be well advised to consult his/her veterinarian or testing laboratory.
  - ii. The horse must be withdrawn from competition for a period of not less than 24 hours after the medication is administered.
  - iii. The medication must be administered by a licensed veterinarian in good standing, or, if a veterinarian is unavailable, only by the trainer/person responsible pursuant to the advice and direction of a veterinarian.
  - iv. Administration of a forbidden substance for non-therapeutic or optional purposes (such as, by way of example only, shipping, clipping, training, turning out, routine floating or cleaning of teeth, non-diagnostic nerve blocking, uncasting, mane pulling or non-emergency shoeing) is not considered to be therapeutic. Medications are permissible if administered prior to 24 hours prior to competition and is declared on a timely-submitted official Medications Report Form.
  - v. Identification of medication—the amount, strength and route of administration.
  - vi. Date and time of administration.
  - vii. Identification of horse, its name, age, sex, color and entry number.
  - viii. Diagnosis and reason for administration.
  - ix. Statement signed by person administering medication.
  - x. Medications Report Form filed with the Show Steward or Show Representative within one hour after administration or one hour after the Show Steward or Show Representative returns to duty after competition resumes if administration is at a time other than during competition hours.

xi. The Show Steward or Show Representative must sign and record the time of receipt on the Medications Report Form.

xii. Flunixin (Banamine) – is a quantitatively restricted medication that may be used conditionally as a third NSAID and/or in addition to phenylbutazone to treat colic or ophthalmic emergencies only under the actual observation of event management (or designated representative) and/or official event veterinarian, either of which must sign the medication report form, to aid in instances of colic. A Medications Report Form must be filed with event management as required in this rule.

xiii. Lidocaine/Mepivacaine – Is a conditionally permitted medication that may only be used within 24 hours of competition under actual observation of event management (or designated representative) and/or the official event veterinarian, either of which must sign the medication report form, to aid in the surgical repair of minor skin lacerations which, due to their very nature, would not prevent the horse from competing following surgery. Treatments include, but are not limited to, repair of heel bulb. A Medication Report Form must be filed with the event management as required in this rule.

(b) Where all the requirements of Section 8 have been fully complied with, the information contained in said Medications Report Form and any other relevant evidence will be considered by the NRHA in determining whether a rule violation was committed by any person(s) responsible or accountable for the condition of the horse under the provisions of this rule.

NOTE: The official Medications Report Form is available from the officiating Show Steward, Show Representative and/or Show Secretary. All required information must be included when filing a report. Failure to satisfy and follow all the requirements of this Rule and to supply all of the information required by such Medications Report Form is a violation of the rules. The Show Steward/Show Representative must report any known violations of this Rule to the NRHA for such further action as may be deemed appropriate.

***Intent of Proposal (include additional pages as necessary):***

To establish an Animal Welfare and Medications policy for NRHA shows. Over the past several years, many factors have lead NRHA to increase its focus on the topic of animal welfare and medications. At the January 2011 NRHA Board of Directors meeting, the Board voted to accept a rule change draft as submitted and edited at their meeting. They also voted to receive further feedback from the membership before making a final submittal for a 2012 rule change.

**47-01-12 PASSED** Submitted by Dale Lopp

Change **SHOW RULES & REGULATIONS, B. Non Pro Conditions (1)** (reference page 47 of the 2011 NRHA Handbook)

**A Non Pro is prohibited from receiving money or product for sponsorships or endorsements; or for showing in after-market logoed apparel or equipment. A Non Pro may appear in reining industry advertisements as long as no remuneration, direct or indirect, is received, and this fact is verified by affidavit on file with the NRHA signed by both the Non Pro and a representative of the advertiser**

~~a) A Non Pro cannot accept sponsorships/endorsements for remuneration of any kind, monetary or product. This can include, but not limited to:~~

~~———— 1) Appearances on or in advertisements with the exception of advertisements for the business/entities solely owned by the Non Pro or immediate family~~

~~———— 2) Name branding of products~~

~~———— 3) Showing in logoed apparel from a sponsorship/endorsement~~

~~———— 4) Monetary support for riding, training or the showing of Reining horses~~

***Intent of Proposal (include additional pages as necessary):***

To clarify the current Non Professional rules on sponsorship/endorsements and to define the separation of the Professional and the Non Professional in our association

**47-02-12 DEFEATED** Submitted by Rick Ford

Change **SHOW RULES & REGULATIONS, B. Non Pro Conditions (1)** (reference page 47 of the 2011 NRHA Handbook)

Non Pros may appear in advertisements or endorse products. Non Pros may not receive remuneration (gifts, product or cash) for such activities. Advertising excludes after market logos on show apparel.

~~Non Pros and their entities will not be prohibited from receiving money, product or services as prizes in NRHA competition. Non Pros and their entities will not be prohibited from appearing in advertising, or endorsing products, whether for remuneration or not. Non Pros cannot receive remuneration of any type for training horses.~~

*Intent of Proposal (include additional pages as necessary):*

To clarify the Non Pro rules and definitions. That the acceptance of products by a Non Pro for endorsements and prizes is not a violation.

**48-01-12 PASSED** Submitted by Susy Baeck

Change **SHOW RULES & REGULATIONS, B. Non Pro Conditions (2)** (reference page 48 of the 2011 NRHA Handbook)

(2) Definition of immediate family: ~~husband, wife, spouse, partner/mate, parent, parents-in-law, spouse's parent, partner/mate's parent, step-parent, legal guardian, child, step-child, brother, sister, sibling, sibling's spouse, sibling's partner/mate, half sibling, step-sibling, half brother and half sister.~~ Determination of partner/mate status will only be accepted when the civil status is legally and lawfully registered in the country/state of residence.

For Non Pro riders under the age of 19, the definition also includes aunt, uncle, grandmother and grandfather. All relationships must be current and lawful.

(a) It is the responsibility of the Non Pro to file an Immediate Family Form with the NRHA prior to showing an immediate family member's horse. The Non Pro is also responsible for updating that form with any changes. If there is not an Immediate Family Form on file with the NRHA office, a Non Pro may be forfeited out of any NRHA Non Pro classes in which they have shown. ~~Proof of partner/mate status must be sent to NRHA prior to showing. All legal and lawful documents, issued by the country/state of residence of the member, will be accepted by NRHA.~~

*Intent of Proposal (include additional pages as necessary):*

It's import to include people in the sport. Not exclude.

Outside the US there are more legal/lawful ways to spend your life together with somebody without marriage. It's important for the growth of the sport that we try to get as much people involved without disadvantage the Non Pro.

**48-02-12** **WITHDRAWN 4/10/11** Submitted by NRHA Dale Lopp

Change **SHOW RULES & REGULATIONS, B. Non Pro Conditions (2)** (reference page 48 of the 2011 NRHA Handbook)

An amateur may not:

- a) work in any capacity at a horse training operation
- b) have received remuneration (pay) to ride, show, train or assist in training horses or horse riders
- c) be the spouse, ex-spouse, child or relative living in the household of a person who has received remuneration (pay) to show, train or assist in training horses or horse riders; or been granted apprentice trainer status or has had or currently involved in a spousal relationship with a horse trainer
- d) have won over \$200,000 in category 2 events

**50-01-12** **WITHDRAWN 6/21/11** Submitted by Eligibility Task Force

Change **SHOW RULES & REGULATIONS, B. Non Pro Conditions (2)** (reference page 50 of the 2011 NRHA Handbook)

(8) Relinquishing Non Pro Status: ...Non Pro earnings in any category/class will not be utilized in determining current eligibility, with the exception of Open Levels 1 and 2 in Category 2/6 and Limited Open and Rookie Professional class in Category 1.

**Intent of Proposal** (include additional pages as necessary):

There have been several instances of high level Non Pros relinquishing their NP status in order to dominate the lower level open classes in aged events. The Eligibility Task Force is examining this phenomenon to determine if corrective action should be taken.

**53-01-12** **WITHDRAWN 6/21/11** Submitted by Eligibility Task Force

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 53 of the 2011 NRHA Handbook)

Novice Horse Level 2

...restricted to horses that have not earned more than \$2 in lifetime earnings...

no other changes

Novice Horse Level 1

...restricted to horses that have not earned more than \$1 in lifetime earnings...

**Intent of Proposal** (include additional pages as necessary):

The Eligibility Task Force is currently examining Novice Horse Eligibility. The precise figure(s) for any change(s) has/have not been determined

**53-02-12** **WITHDRAWN 6/21/11** Submitted by Eligibility Task Force

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 53 of the 2011 NRHA Handbook)

Category 1

Intermediate Open - ...who has earned less than \$100 in all NRHA Categories...

no other changes

Limited Open - ...who has earned less than \$50 in all NRHA Categories...

*no other changes*

Intermediate Non Pro - ...who has earned less than \$75 in all NRHA Categories...

*no other changes*

Limited Non Pro - ...who has earned less than \$25 in all NRHA Categories...

*no other changes*

***Intent of Proposal*** (include additional pages as necessary):

The Eligibility Task Force is currently examining Category 1 Rider Eligibility. The precise figure(s) for any change(s) has/have not been determined.

**53-03-12 DEFEATED** Submitted by Rick Ramsey

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 53 of the 2011 NRHA Handbook)

Delete Novice Horse Level II from Handbook

Novice Horse Level 1: Add the following on third line

Subject to the general conditions but restricted to horses that have not earned more than \$10,000 in lifetime earnings in all NRHA categories except 2, 3, 6, 7, 9 and 10.

***Intent of Proposal*** (include additional pages as necessary):

To make more horses eligible for our novice horse markets and competitions.

**54-01-12 WITHDRAWN 6/21/11** Submitted by Eligibility Task Force

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 54 of the 2011 NRHA Handbook)

Category 2

Level 3 Open - ...who is not in the Top 5 money earners...

*no other changes*

Level 2 Open - ...who is not in the Top 15 money earners...

*no other changes*

Level 1 Open - ...who has earned less than \$50 in lifetime NRHA earnings...

*no other changes*

Level 3 Non Pro - ...who is not in the Top 15 money earners...

*no other changes*

Level 2 Non Pro - ...who is not in the Top 25 money earners...

*no other changes*

Level 1 Non Pro - ...who has earned less than \$50 in lifetime NRHA earnings...

*no other changes*

***Intent of Proposal*** (include additional pages as necessary):

The Eligibility Task Force is currently examining Category 2 Rider Eligibility. The precise figure(s) for any change(s) has/have not been determined.

**54-02-12 NO MOTION** Submitted by David Archer

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 54 of the 2011 NRHA Handbook)

Category 2

Non Pro

1. Able to enter divisions wanted to compete in
2. Only pay for such divisions of choice
3. Futurity & Derbies

**Intent of Proposal** (include additional pages as necessary):

To increase entries and not always suppling a burden of unequal playing field. Not everybody wants to marry a trainer or compete and pay at a level beyond their ability. We seem to have a penalty to go in our classes.

**56-01-12 PASSED** Submitted by NRHA Member Services

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 56 of the 2011 NRHA Handbook)

Unrestricted Youth:

Delete the verbiage: However, if he/she does show a horse not owned by him/her or an immediate family member in this class, he/she will not be eligible to show any horse in any Non Pro/Rookie class at the same show. Youth showing their own horse remain eligible for the Non Pro classes, provided they meet the normal NP requirements.

**Intent of Proposal** (include additional pages as necessary):

We should not discourage youth from showing at an NRHA event. With the deletion of this verbiage we still follow NRHA rules for NRHA classes and allow youth to show in Rookie classes.

**56-02-12 WITHDRAWN 7/16/11** Submitted by Brian Welman

Change **SHOW RULES & REGULATIONS, D. Categories of Competition and Class Eligibility** (reference page 56 of the 2011 NRHA Handbook)

In the descriptions on page 53 category 1 events --- should read "in all NRHA categories except 3, 4, 7, 9 and 10 over the three previous years" This applies for Int Open, Limited Open, Int NP, Limited NP, Novice levels open and non pro.

**Intent of Proposal** (include additional pages as necessary):

So breed association money does not skew eligibility limits in our events and prevent those that focus in breed events from coming to NRHA events and being competitive. All category 4 money is now excluded from eligibility determinations.

**61-01-12 PASSED** Submitted by NRHA Member Services

Change **SHOW RULES & REGULATIONS, E. Show Approval** (reference page 61 of the 2011 NRHA Handbook)

D Level	C Level	B Level	BB Level	A Level	AA Level
Ride & Slide Show	\$0-\$999	\$1000-\$14999	\$15000-\$49999	\$50000-\$99999	\$100000+

Event Level	Deadline	Cert. Show Secretary	Cert. Show Manager	Cert. Show Steward	Representative	Videographer	Manager	Paddock Manager
D	30	PreApproved						
C	30	X			X			
B	60	X			X		X	
BB	90	X			X	recommended	X	
A	120	X			X	X	X	
AA	180	X		X		X	X	

\*\*\* The paddock manager will NOT be required for any event level.

\*\*\* Competition Licenses & Non Pro Declarations would not be required for D Events.

**Intent of Proposal** (include additional pages as necessary):

More accurately represent NRHA shows. The difference between a \$500 show and a \$49,999 show is much larger than a \$10,000 and a \$99,999 show. Current B shows are required to follow rules identical to A with the exception of the time required to submit the show approval, videographer and paddock manager.

**63-01-12 PASSED** Submitted by Deborah D Penner-Fortner

Change **SHOW RULES & REGULATIONS, E. Show Approval** (reference page 63 of the 2011 NRHA Handbook)

Any authorized board, club or show committee member who is not showing at said show can contract the judge(s). (Currently the rule reads: 10)"...The show manager and/or secretary shall be the only show officials that will directly or indirectly contract the services of NRHA approved judge(s)..."

**Intent of Proposal** (include additional pages as necessary):

The intent of this proposal is to open up the group of people that may contract a judge for a show and not limit the contracting of the judge to only the show secretary or the show manager. As rule E10 is written now, the rule may contradict club by laws.

For example, a local club bylaws read"...Officers shall not have the authority to bind the association to any agreement, debt, obligator or expend without prior approval of the Board of Directors if the amount exceeds \$500.00..." This bylaw means that only officers can bind any sort of obligation on behalf of the club.

Show managers and Show secretaries may not be officers of a club and therefore have zero authority according to club bylaws to bind the judge with a contract. NRHA 2010 rule E10 overrides club bylaws which should not be occurring, as this causes local clubs the need to amend their bylaws to keep up with NRHA rule changes. The above proposed wording change would allow clubs to follow their own bylaws as well as comply with NRHA rule E10(if amended).

In addition, if a show manager or secretary that were not a club officer were to sign a judges contract on behalf of a club, the contract validity could be questioned as the club bylaws were not followed, this could result in a contract breach or other unsavory outcome.

**68-01-12 PASSED** Submitted by NRHA Member Services

Change **SHOW RULES & REGULATIONS, F. Affiliate Show Conditions** (reference page 68 of the 2011 NRHA Handbook)

**Jackpot** Affiliate Shows can be jackpot only or may have **less than \$500** added money to their entire event.

*Intent of Proposal (include additional pages as necessary):*

To follow the guidelines of the Event Level Classifications, Affiliate Shows are meant to be a Level C only.

**71-01-12 WITHDRAWN 6/10/11** Submitted by NRHA Member Services

Change **SHOW RULES & REGULATIONS, N. General Show Conditions** (reference page 71 of the 2011 NRHA Handbook)

### **Affiliate Bonus Bucks**

All NRHA Affiliate Finals (i.e. North American, European & Australian) will have the option of participating in Affiliate Bonus Bucks to grow purses at their respective finals.

- 1) The managing body (or team) for each Affiliate Finals will be given the opportunity to make a recommendation on their participation to the Board of Directors for approval.
- 2) Every entry in every class counting towards Affiliate Standings will be included, in addition to entries in Regional Affiliate Finals classes.
  - a) \$5 from each entry will be added to the Affiliate Bonus Bucks fund for the respective Affiliate Finals.
  - b) NRHA will retain 5% for advertising and administrative fees.
- 3) Show Management will be responsible for collecting and remitting \$5 per entry to NRHA.
- 4) Bonus Bucks collected will be paid out at the respective Affiliate Finals.
  - a) Money collected in each class will only be used as Bonus Bucks for that specific class.

### **Eligibility Changes to compliment Affiliate Bonus Bucks**

Category 1-

Rookie Professional- exclude Category 7 earnings

Category 2-

Level 1 Open- exclude Category 7 earnings

Level 1 Non Pro- exclude Category 7 earnings

*Intent of Proposal (include additional pages as necessary):*

To grow NRHA Affiliate Finals participation and purses.

**74-01-12 PASSED** Submitted by NRHA Member Services

Change **SHOW RULES & REGULATIONS, P. Show Results** (reference page 74 of the 2011 NRHA Handbook)

**Proposed Change:**

P. Show Results

(4) Shows failing to ~~Failure to submit~~ postmark show results to the NRHA office by ten (10) business days following the completion of an NRHA event will be assessed a penalty of \$100. Submitting late show results may jeopardize future NRHA show approvals as determined by the NRHA Executive Committee. ~~A penalty of \$100 will be assessed if postmarked after fifteen (15) days.~~ An additional penalty of \$500 will be assessed if postmarked after twenty (20) business days. Any results not received by the completion of the NRHA Futurity will not be recognized by the NRHA office.

**Intent of Proposal** (include additional pages as necessary):

Clean up verbiage

**97-01-12 PASSED** Submitted by Judges Committee

Change **JUDGES, A. Judging Privileges** (reference page 97 of the 2011 NRHA Handbook)

(b)The applicant must be at least twenty-five (25) years of age and must have been an NRHA member in good standing for ~~two five consecutive years~~ **24 months prior to the date of application** before he or she can be considered for approval as an NRHA judge.

**Intent of Proposal** (include additional pages as necessary):

Increasing the number of years a person is a member before becoming a judge will help improve the general NRHA knowledge of new applicants prior attending the judges schools.

**99-01-12 DEFEATED** Submitted by Rick Ramsey

Change **JUDGES, C. Guidelines** (reference page 99 of the 2011 NRHA Handbook)

(10)...[Jr., Sr., Amateur, and Youth breed classes] ~~dual approved NRHA with the~~ National Federation or FEI events)...

**Intent of Proposal** (include additional pages as necessary):

So that National Federatio or FEI Events that are held without dual approval by NRHA cannot use our NRHA judges since we do not know how FEI judges will be training and managed in the future. This is to uphold the integrity of our judges and our judging system at NRHA Reinings.

**103-01-12 PASSED** Submitted by Judges Committee

Change **RULES FOR JUDGING, B. Scoring** (reference page 103 of the 2011 NRHA Handbook)

Page 103: add new 4(i)

In any approved class, the judge shall have the authority to require the removal or alteration of any piece of equipment or accoutrement which is unsafe, or in his opinion, would tend to give a horse an unfair advantage or which he believes to be inhumane.

***Intent of Proposal (include additional pages as necessary):***

With all the new bits being made it is impossible to write a rule for every type of bit. This gives the judges the latitude to call a No Score for any bit they deem inhumane or giving an unfair advantage.

**103-02-12 NO MOTION** Submitted by Cindy Chilton-Moore

Change **RULES FOR JUDGING, B. Scoring** (reference page 103 of the 2011 NRHA Handbook)

Page 103: add new 4(6) & Judges Guide page 135

The use of shoe polish, dye, spray paint and/or similar substance of any color on a horse, (i.e.: including but not limited to: barrel, flanks, belly, sides, shoulders) shall be considered as artificial coloring and will result in a no score of the horse except in Freestyle reining classes.

***Intent of Proposal (include additional pages as necessary):***

**104-01-12 NO MOTION** Submitted by Sven Friesedke

Change **RULES FOR JUDGING, B. Scoring** (reference page 104 of the 2011 NRHA Handbook)

To promote the competition characters in NRHA approved events it is forbidden to give instruction to the contestant while he is in the show arena. If a competitor gets instructions or coaching from someone, he will automatically be disqualified.

***Intent of Proposal (include additional pages as necessary):***

Showing means to show the performance level of the horse and rider combination. Active coaching from outside the show arena creates a negative impression and atmosphere to the competition.